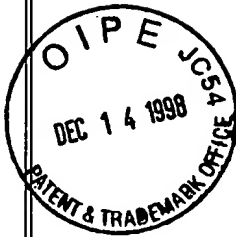


Sector #



I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Assistant Commissioner of Patents, Box Missing Parts, Washington, D.C. 20231, on the date indicated below.

\_\_\_\_\_  
Attorney for Applicants

12/10/98  
\_\_\_\_\_  
Date of Signature

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In the application of )  
ARMANDO SERVIA, ET AL. )  
on ELEVATOR SYSTEM HAVING ) Examiner: Not Assigned  
DRIVE MOTOR LOCATED ) Group Art Unit: 3615  
ADJACENT TO HOISTWAY DOOR )  
Serial No.: 09/163,207 ) (Our Docket No. 4167-18)  
Filed: September 29, 1998 )

Springfield, Massachusetts, December 10, 1998

Assistant Commissioner for Patents  
**BOX MISSING PARTS**  
Washington, D.C. 20231

RESPONSE TO NOTICE TO FILE MISSING  
PARTS OF APPLICATION

S I R:

In response to the Notice to File Missing Parts of Application, dated October 20, 1998, Applicants enclose herewith the following documents:

1. Combined Declaration and Power of Attorney;
2. Form PTO-1533 (return copy);
3. Assignment of the invention from the inventors to Otis Elevator Co.;
4. Form PTO-1595; and

5. Two checks in the following amounts:

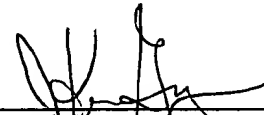
a: Surcharge of \$130.00 for filing missing parts.

b: Recordation of Assignment fee of \$40.00.

Please charge our Deposit Account No. 13-0235 for any deficiency in these fees.

Respectfully submitted,

By



J. Kevin Grogan  
Registration No. 31,961  
Attorney for Applicants

McCORMICK, PAULDING & HUBER  
CityPlace II, 185 Asylum Street  
Hartford, Connecticut 06103-4102  
(203) 549-5290



# 3  
UNITED STATES DEPARTMENT OF COMMERCE  
Patent and Trademark Office  
Address: COMMISSIONER OF PATENTS AND TRADEMARKS  
Washington, D.C. 20231

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NO./TITLE
09/103.207	09/29/98	SERVIA	4467-18

0242/1000  
MCCORMICK PAULDING & HUBER LLP  
CITY PLACE II  
195 ASYLUM STREET  
HARTFORD CT 06103-4102



NOT ASSIGNED

DATE MAILED:

10/20/98

**NOTICE TO FILE MISSING PARTS OF APPLICATION**  
**Filing Date/Granted**

An Application Number and Filing Date have been assigned to this application. The items indicated below, however, are missing. Applicant is given **TWO MONTHS FROM THE DATE OF THIS NOTICE** within which to file all required items and pay fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a). If any of items 1 or 3 through 5 are indicated as missing, the **SURCHARGE** set forth in 37 CFR 1.16(e) of ☐ \$65.00 for a small entity in compliance with 37 CFR 1.27, or ☒ \$130.00 for a non-small entity, must also be timely submitted in reply to this NOTICE to avoid abandonment.

If all required items on this form are filed within the period set above, the total amount owed by applicant as a ☐ small entity (statement filed) ☒ non-small entity is \$ 130.00

☐ 1. The statutory basic filing fee is:

- ☐ missing.  
☐ insufficient.

Applicant must submit \$ \_\_\_\_\_ to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).

☒ 2. Additional claim fees of \$ \_\_\_\_\_, including any multiple dependent claim fees, are required.

\$ \_\_\_\_\_ for \_\_\_\_\_ independent claims over 3.

\$ \_\_\_\_\_ for \_\_\_\_\_ dependent claims over 20.

\$ \_\_\_\_\_ for multiple dependent claim surcharge.

Applicant must either submit the additional claim fees or cancel additional claims for which fees are due.

☒ 3. The oath or declaration:

- ☒ is missing or unexecuted.  
☐ does not cover the newly submitted items.  
☐ does not identify the application to which it applies.  
☐ does not include the city and state or foreign country of applicant's residence.

An oath or declaration in compliance with 37 CFR 1.63, including residence information and identifying the application by the above Application Number and Filing Date is required.

☐ 4. The signature(s) to the oath or declaration is/are by a person other than inventor or person qualified under 37 CFR 1.42, 1.43 or 1.47.

A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.

☐ 5. The signature of the following joint inventor(s) is missing from the oath or declaration:

An oath or declaration in compliance with 37 CFR 1.63 listing the names of all inventors and signed by the named inventor(s), identifying this application by the above Application Number and Filing Date, is required.

☐ 6. A \$50.00 processing fee is required since your check was returned without payment (37 CFR 1.21(m)).

☐ 7. Your filing receipt was mailed in error because your check was returned without payment.

☐ 8. The application does not comply with the Sequence Rules.

See attached "Notice to Comply with Sequence Rules 37 CFR 1.821-1.825."

☐ 9. OTHER:

Direct the reply and any questions about this notice to "Attention: Box Missing Parts."

**A copy of this notice MUST be returned with the reply.**

Customer Service Center.

Initial Patent Examination Division (703) 308-1202

**PART 2 - COPY TO BE RETURNED WITH RESPONSE**

00000032 0916320 12/21/1998 THEJYEN 01 FEB 1999